


FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE <b>TRANSMITTAL LETTER TO THE UNITED STATES</b>		<b>ATTORNEY DOCKET NUMBER</b> PAT-01092
<b>DESIGNATED/ELECTED OFFICE (DO/EO/US)</b> <b>CONCERNING A FILING UNDER 35 U.S.C. 371</b>		<b>U.S. APPLICATION NO. (IF KNOWN SEE 37 RR 15)</b> 10/533112
<b>INTERNATIONAL APPLICATION NO.</b> PCT/EP 2003/11895	<b>INTERNATIONAL FILING DATE</b> (27.10.2003) 27. October 2003	<b>PRIORITY DATE CLAIMED</b> (15.11.2002) 15. November 2002
<b>TITLE OF INVENTION: COMPOUNDS WHICH CAN BE ACTIVATED BY ACTINIC RADIATION AND CONTAIN URETHANE GROUPS, METHOD FOR THE PRODUCTION THEREOF AND USE OF THE SAME</b>		
<b>APPLICATION(S) FOR DO/EO/US: MEISENBURG, Uwe, RINK, Heinz-Peter, COUTURIER, Daniel; COUTURIER-VERLHAC, Isabelle</b>		
<b>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</b>		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmittal by the International Bureau). b. <input checked="" type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> Is attached hereto. b. <input type="checkbox"/> Has been previously submitted under 35 U.S.C 154(d)(4). 7. <input checked="" type="checkbox"/> Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C.37 (c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input checked="" type="checkbox"/> An English language translation of the annex to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C 371(c)(5)).		
<b>Items 11. to 20. below concern document(s) or information included:</b>		
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A change of power of attorney and/or address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: <b>A copies of the cover sheet from the PCT Published Application, and the cover sheet from the Priority Document</b>		
I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. <b>ED 915 876 601 US</b> addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on April 28, 2005.		
 Marjorie Ellis		

U.S. APPLICATION NO. (If known see 37 C.F.R. 1.50)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
10/533112		PCT/EP2003/011895		PAT-01092	

<input checked="" type="checkbox"/> The following fees are submitted:	<b>CALCULATIONS</b> PTO USE ONLY																
21. <input checked="" type="checkbox"/> Basic National Stage Fee (1631/2631)..... \$300.00	\$																
22. <input checked="" type="checkbox"/> Examination Fee (1633/2633) All other situations..... \$200.00	\$																
23. <input checked="" type="checkbox"/> Search Fee (37CFR 1.445(a)(2) has been paid on the international application to the USPTO as an All other situations..... \$500.00	\$																
<b>TOTAL OF 21, 22, AND 23 =</b>	<b>\$1,000.00</b>																
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.																	
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width: 15%;">Total Sheets</th> <th style="width: 15%;">Extra Sheets</th> <th style="width: 40%;">Number of each additional 50 or fraction thereof (round up to a whole number)</th> <th style="width: 30%;">Rate</th> </tr> <tr> <td style="text-align: center;">- 100=</td> <td style="text-align: center;">/50=</td> <td></td> <td style="text-align: center;">x\$250</td> </tr> </table>	Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	Rate	- 100=	/50=		x\$250									
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	Rate														
- 100=	/50=		x\$250														
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).																	
<table border="1" style="width:100%; border-collapse: collapse;"> <tr> <th style="width: 15%;">Claims</th> <th style="width: 15%;">Number Filed</th> <th style="width: 15%;">Number Extra</th> <th style="width: 30%;">Rate</th> </tr> <tr> <td>Total Claims</td> <td style="text-align: center;">12- 20 =</td> <td></td> <td style="text-align: center;">X \$ 50.00</td> </tr> <tr> <td>Independent claims</td> <td style="text-align: center;">01 - 03 =</td> <td></td> <td style="text-align: center;">X \$ 200.00</td> </tr> <tr> <td colspan="3">Multiple dependent claims(s) (if applicable)</td> <td style="text-align: center;">+ \$360.00</td> </tr> </table>	Claims	Number Filed	Number Extra	Rate	Total Claims	12- 20 =		X \$ 50.00	Independent claims	01 - 03 =		X \$ 200.00	Multiple dependent claims(s) (if applicable)			+ \$360.00	\$
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Total Claims	12- 20 =		X \$ 50.00														
Independent claims	01 - 03 =		X \$ 200.00														
Multiple dependent claims(s) (if applicable)			+ \$360.00														
<b>TOTAL OF ABOVE CALCULATIONS =</b>		<b>\$1,000.00</b>															
Processing fee of \$130.00 for furnishing the English translation later <input type="checkbox"/> 20 <input type="checkbox"/> 30 the months from the earliest claimed priority date (37 CFR 1.492(f)).		\$															
<b>TOTAL NATIONAL FEE =</b>		<b>\$1,000.00</b>															
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$															
<b>TOTAL FEES ENCLOSED =</b>		<b>\$1,000.00</b>															
		Amount to be refunded: \$															
		Charged \$1,000.00															

a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 02-1201 in the amount of \$1,000.00 to cover the above fees  
A triplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-1201. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

**SEND ALL CORRESPONDENCE TO:**

**BASF CORPORATION**  
 Patent Department  
 26701 Telegraph Road  
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 Customer No. 26922

SIGNATURE

Anne Gerry Sabourin  
 Name  
33,772  
 REGISTRATION NUMBER

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of  MEISENBURG, Uwe RINK, Heinz-Peter COUTURIER, Daniel COUTURIER-VERLHAC, Isabelle	<b>Practitioner's Docket No. PAT-01092</b>
Serial No.: This application is a National Phase application of PCT/EP2003/011895 filed 27. October 2003.	Group Art Unit: Not Assigned
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For: COMPOUNDS WHICH CAN BE ACTIVATED BY ACTINIC RADIATION AND CONTAIN URETHANE GROUPS, METHOD FOR THE PRODUCTION THEREOF AND USE OF THE SAME	<b><u>EXPRESS MAIL CERTIFICATE</u></b>  I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. <b>ED 915 876 601 US</b> addressed to BOX PCT, Commissioner for Patents, PO BOX 1450, Alexandria, VA 22313-1450 on the date shown below.  <div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="text-align: center;">             April 28, 2005  <hr style="width: 100px; margin: 0 auto;"/>             Date           </div> <div style="text-align: center;">   <hr style="width: 100px; margin: 0 auto;"/>             Marjorie Ellis           </div> </div>

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☒ German Text Application

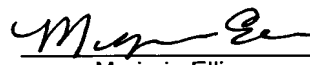
Specification on	33	pages;	claims on	06	pages;
the abstract on	02	page(s);	drawings on		page(s).

10/533112

JC12 Rec'd PCT/PTC 28 APR 2005

## PATENT

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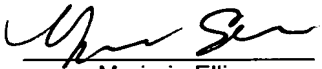
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☒ English Application

Specification on  pages; claims on  pages;  
the abstract on  page(s); drawings on  page(s).

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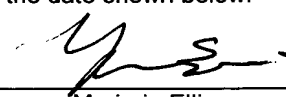
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☒ Patent Application Data Sheet

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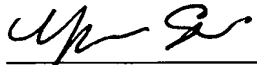
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<b>X</b>	Combined Declaration and Power of Attorney
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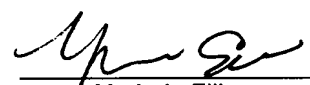
☒ **Copy of Cover page of the Priority Document**

10/533112

JC12 Rec  CT/PTC 2.8 APR 2005

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<input checked="" type="checkbox"/> X	Copy of cover sheet from the PCT Published Application
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☒ International Preliminary Examination Report

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X	Information Disclosure Statement
X	From PTO-1449
X	Cited References
X	International Search Report
X	Germany International Search Report